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FEB 05 2002

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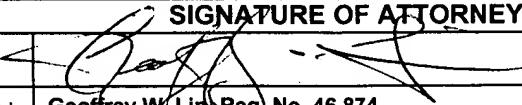
PTO/SB/21 (modified)

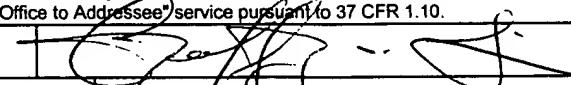
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

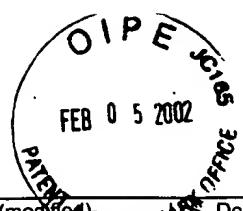
U.S. PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office		
TRANSMITTAL FORM			
(to be used for all correspondence during pendency of filed application)			
Total Number of Pages in This Submission	18	Application Number	09/888,212
		Filing Date	June 22, 2001
		First Named Inventor	Kwok Hung Si
		Group Art Unit Number	2182
		Examiner Name	Not Yet Known
		Attorney Docket Number	20880-05629

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts and Change of Small Entity Status Under 37 CFR 1.28(c)	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Copy of Filing Receipt
<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Copy of Application Data Sheet
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/> Status Request	
<input type="checkbox"/> Revocation and Substitute Power of Attorney	

REMARKS:

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Geoffrey W. Lin, Reg. No. 46,874	Dated: 11/15/01

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:		
Typed or Printed Name:	Geoffrey W. Lin	Dated: 11/15/01
Express Mail Mailing Number (optional):		



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PTO/SB/17 (10-01)(modified)
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) TRADEMARKS. Department of Commerce
Rev. 10/2001

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\\$) 625.00**

<i>Complete if Known</i>	
Application Number	09/888,212
Filing Date	June 22, 2001
First Named Inventor	Kwok Hung Si
Group Art Unit	2182
Examiner Name	Not Yet Known
Attorney Docket Number	20880-05629

METHOD OF PAYMENT		FEES CALCULATION (continued)		
1. The Commissioner is hereby authorized to:		3. ADDITIONAL FEES		
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†] <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		Large Entity Fee Code/Fee 105/\$130 127/\$50 147/\$2,520 115/\$110 116/\$400 117/\$920 118/\$1,440 128/\$1,960 119/\$320 141/\$1,280 142/\$1,280 143/\$480 122/\$130 126/\$180 179/\$740 581/\$40 146/\$740 149/\$740	Small Entity Fee Code/Fee 205/\$65 227/\$25 147/\$2,520 215/\$55 216/\$200 217/\$460 218/\$720 228/\$980 219/\$160 241/\$640 242/\$640 243/\$230 122/\$130 126/\$180 279/\$370 581/\$40 246/\$370 249/\$370	Fee Description SurchARGE - late filing fee or oath SurchARGE-late provisional filing fee or cover sheet For filing a request for reexamination Extension for response within first month [†] Extension for response within second month [†] Extension for response within third month [†] Extension for response within fourth month [†] Extension for response within fifth month [†] Notice of Appeal Petition to revive unintentionally abandoned application Utility Issue Fee (Or Reissue) Design Issue Fee Petitions to the Commissioner Submission of Information Disclosure Statement Request for Continued Examination (RCE) Recording each patent assignment per property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a)) For each additional invention to be examined (37 CFR 1.129(b))
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other				
FEES CALCULATION (fees effective 10/01/2001)				
1. FILING FEE				
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	
101/\$740	201/\$370	Utility Filing	<input type="text"/>	
106/\$330	206/\$165	Design Filing	<input type="text"/>	
108/\$740	208/\$370	Reissue	<input type="text"/>	
114/\$160	214/\$80	Provisional Filing	<input type="text"/>	
SUBTOTAL (1)		(\\$) .00		
2. CLAIMS				
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description		
103/\$18	203/\$9	Claims in excess of 20	<input type="text"/>	
102/\$84	202/\$42	Independent claims in excess of 3	<input type="text"/>	
104/\$280	204/\$140	Multiple dependent claim	<input type="text"/>	
109/\$84	209/\$42	Reissue independent claims over original patent	<input type="text"/>	
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent	<input type="text"/>	
		(Col. 1)	(Col. 2)	(Col. 3)
For	No. of Existing Claims	Highest No. Previously Paid For	Extra**	Fee
TOTAL		minus* 20 or 0	=	x
INDEP		minus* 3 or 0	=	x
[] First presentation of multiple dependent claim				
SUBTOTAL (2) (\\$) .00				
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3				

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Geoffrey W. Lip	Reg. Number	46,874
Signature		Date	11/5/01

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



UNITED STATES PATENT AND TRADEMARK OFFICE

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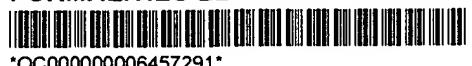
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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/888,212	06/22/2001	Kwok Hung Si	20880-05629

CONFIRMATION NO. 8404

00758

**FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306**



OC00000006457291

Date Mailed: 08/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 3 - OFFICE COPY

Adjustment date: 08/07/2002 6054821
08/28/2001 RWOLDEER 00000067 09888213
01 FEB 201 -350.00 CP

08/07/2002 6054821 00000067 09888213

12 70:10E
08 70:10L

10.00 CP
40.00 CP



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PATENT

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Kwok Hung Si and Tibet Mimaroglu

APPLICATION NO.: 09/888,212

FILING DATE: June 22, 2001

TITLE: Universal Serializer/Deserializer

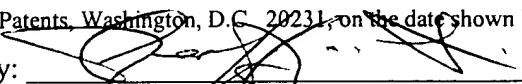
EXAMINER: Not Yet Known

GROUP ART UNIT: 2182

ATTY. DKT. NO.: 20880-05629

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: November 5, 2001 By: 
Geoffrey W. Lin, Reg. No.: 46,874

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION AND
CHANGE OF SMALL ENTITY STATUS UNDER 37 CFR 1.28(c)**

SIR:

Responsive to the Notice to File Missing Parts dated August 22, 2001 received in the above-identified patent application,

Enclosed are:

- a copy of the Notice to File Missing Parts;
- an original, signed Declaration;
- an original, signed Power of Attorney;
- an Application Data Sheet;
- payment in the amount of \$240.00 for the

09/17/2002 09:50:11 20880-05629 1219446.1
1219446.1 09:50:11 240.00 0P

- application filing fee;
 - fee for additional claims; and
 - missing parts surcharge (\$130.00) and one month extension of time (\$110.00).
- Applicants revoke their claim to small entity status under 37 CFR 1.28 (c) and submit a fee of \$385.00 to cover the additional amount due for filing an application as a large entity. Applicants' prior claim to small entity was made in good faith.

Respectfully submitted,
KWOK HUNG SI & TIBET MIMAROGLU

Dated: November 5, 2001

By:



Geoffrey W. Lin, Reg. No.: 46,874
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7163
Fax.: (650) 494-1417



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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Kwok Hung Si and Tibet Mimaroglu

APPLICATION NO.: 09/888,212

FILING DATE: June 22, 2001

TITLE: Universal Serializer/Deserializer

EXAMINER: Not Yet Known

GROUP ART UNIT: 2182

ATTY. DKT. NO.: 20880-05629

CERTIFICATE OF MAILING

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Dated: November 5, 2001

By: 
Geoffrey W. Lin, Reg. No.: 46,874

COMMISSIONER FOR PATENTS
OFFICE OF INITIAL PATENT EXAMINATION
CUSTOMER SERVICE CENTER
WASHINGTON, DC. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

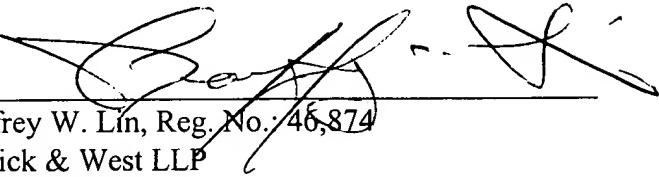
1. The above-referenced application claims the benefit of 60/250,781 filed on December 1, 2000, as evidenced by the Application Data Sheet, a copy of which is enclosed. This Filing Receipt should be corrected to indicate this missing Continuing Data.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,

KWOK HUNG SI & TIBET MIMAROGLU

Dated: November 5, 2001

By: 

Geoffrey W. Lin, Reg. No.: 46,874
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7163
Fax.: (650) 494-1417



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/888,212	06/22/2001	2182	355	20880-05629	7	1	1

CONFIRMATION NO. 8404

00758
 FENWICK & WEST LLP
 TWO PALO ALTO SQUARE
 PALO ALTO, CA 94306

R E C E I V E D

AUG 27 2001

FENWICK & WEST LLP

FILING RECEIPT



OC000000006457290

Date Mailed: 08/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kwok Hung Si, Sunnyvale, CA;
 Tibet Mimaroglu, Sunnyvale, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/213,745 06/22/2000
 AND CLAIMS BENEFIT OF 60/251,468 12/04/2000
and claims benefit of 60/250,731 12/11/2000

Foreign Applications**If Required, Foreign Filing License Granted 08/15/2001**

✓ **Projected Publication Date:** To Be Determined - pending completion of Missing Parts

Non-Publication Request: No**Early Publication Request:** No**** SMALL ENTITY ******Title**

Universal serializer/deserializer

Preliminary Class

710

Data entry by : SOUNANNARATH, LINDA

Team : OIPE

Date: 08/22/2001

